

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

In re

**LEHMAN BROTHERS HOLDINGS INC., et al.,  
Debtors.**

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**Chapter 11 Case No.**

**08-13555 (SCC)  
(Jointly Administered)**

**Ref. Docket No. 45216, 45720-45724,  
45726, 45727, 45735, 45738, 45739,  
45742, 45745, 45747-45765, 45806**

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On August 20, 2014, I caused to be served the "Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez

Lauren Rodriguez

Sworn to before me this  
3<sup>rd</sup> day of September, 2014

/s/ Sidney J. Garabato

Notary Public, State of New York

No. 01GA6218946

Qualified in New York County

Commission Expires March 15, 2018

## **EXHIBIT A**

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e) (2) or (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: BARCLAYS BANK PLC  
TRANSFEROR: ALSTON INVESTMENTS LLC  
ATTN: DANIEL MIRANDA  
745 SEVENTH AVENUE, 2ND FLOOR  
NEW YORK NY 10019

BARCLAYS BANK PLC  
ATTN: ANTHONY VITIELLO  
745 SEVENTH AVENUE, 2ND FLOOR  
NEW YORK NY 10019

Please note that your claim # 62743-94 in the above referenced case and in the amount of \$4,771,740.01 allowed at \$2,831,789.48 has been transferred (**unless previously expunged by court order**)

SERENGETI LYCAON MM L.P.  
TRANSFEROR: BARCLAYS BANK PLC  
C/O SERENGETI ASSET MANAGEMENT LP, AS INVESTMENT ADVISOR  
ATTN: ERIN FINEGAN  
632 BROADWAY, 12TH FLOOR  
NEW YORK NY 10012

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 45735 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 08/20/2014

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on August 20, 2014.

## **EXHIBIT B**

TIME: 15:25:41  
DATE: 08/20/14

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

PAGE: 1

Name	Address
BARCLAYS BANK PLC	ATTN: ANTHONY VITIELLO 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019
BARCLAYS BANK PLC	TRANSFEROR: ALSTON INVESTMENTS LLC ATTN: DANIEL MIRANDA 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019
CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: PAULSON CREDIT OPPORTUNITIES MASTER LTD. ATTN: BRIAN BROYLES 1615 BRETT ROAD, BLDG 3 NEW CASTLE DE 19720
CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: PAULSON ENHANCED LTD. ATTN: BRIAN BROYLES 1615 BRETT ROAD, BLDG 3 NEW CASTLE DE 19720
CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: PAULSON PARTNERS ENHANCED L.P. ATTN: BRIAN BROYLES 1615 BRETT ROAD, BLDG 3 NEW CASTLE DE 19720
CVI CVF II LUX MASTER S.A.R.L.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH C/O CARVAL INVESTORS, LC ATTN: TERI SALBERG 9320 EXCELSIOR BOULEVARD HOPKINS MN 55343
CVI CVF II LUX MASTER S.A.R.L.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH C/O CARVAL INVESTORS, LLC ATTN: TERI SALBERG 9320 EXCELSIOR BOULEVARD HOPKINS MN 55343
CVI CVF II LUX MASTER S.A.R.L.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH C/O CARVAL INVESTORS, LLC ATTN: TERI SALBERG 9320 EXCELSIOR BOULEVARD HOPKINS MN 55343
CVI HH INVESTMENTS LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH C/O CARVAL INVESTORS, LLC ATTN: TERI SALBERG 9320 EXCELSIOR BOULEVARD HOPKINS MN 55343
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: ATTESTOR VALUE MASTER FUND, LP ATTN: JEFFREY OLINSKY 60 WALL ST., 3RD FLOOR NEW YORK NY 10005
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: SOLUS CORE OPPORTUNITIES MASTER FUND LTD C/O DEUTSCHE BANK SECURITIES INC. ATTN: JEFF OLINSKY 60 WALL STREET NEW YORK NY 10005
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: ULTRA MASTER LTD C/O DEUTSCHE BANK SECURITIES INC. ATTN: JEFF OLINSKY 60 WALL STREET NEW YORK NY 10005
DEUTSCHE BANK AG, LONDON BRANCH (UK)	TRANSFEROR: P MONARCH RECOVERY LTD. ATTN: SIMON GLENNIE WINCHESTER HOUSE, 1 GREAT WINCHESTER ST LONDON EC2N 2DB UNITED KINGDOM
FARALLON CAPITAL AA INVESTORS L.P.	TRANSFEROR: J.P. MORGAN SECURITIES LLC C/O FARALLON CAPITAL MANAGEMENT, LLC ATTN: MAX STRASBURG ONE MARITIME PLAZA, SUITE 2100 SAN FRANCISCO CA 94111
HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED, THE	TRANSFEROR: HSBC PRIVATE BANK SUISSE SA ATTN: TONY KWOK, PRIVATE BANKING DIVISION HSBC MAIN BUILDING 1 QUEEN'S ROAD CENTRAL HONG KONG SAR HONG KONG
HSBC PRIVATE BANK SUISSE SA ILLIQUIDX LLP	ATTN: TONY KWOK, DIRECTOR LEVEL 13 & 14, 1 QUEEN'S ROAD CENTRAL HONG KONG
J.P. MORGAN SECURITIES LLC	TRANSFEROR: JOHN SURTEES LIMITED SSAS ATTN: MR. CELESTINO AMORE 80 FLEET STREET LONDON EC4Y 1EL UNITED KINGDOM
J.P. MORGAN SECURITIES LLC	TRANSFEROR: BSOF MASTER FUND, L.P. ATTN: JEFFREY L. PANZO MAIL CODE: NY1-M138 383 MADISON AVENUE, FLOOR 43 NEW YORK NY 10179
JOHN SURTEES LIMITED SSAS	TRANSFEROR: BSOF PARALLEL MASTER FUND L.P. ATTN: JEFFREY L. PANZO MAIL CODE: NY1-M138 383 MADISON AVENUE, FLOOR 43 NEW YORK NY 10179
JOHN SURTEES LIMITED SSAS	DAVID MOLAND ARBUTHNOT LATHAM & CO. LIMITED 20 ROPEMAKER STREET LONDON EC2Y 9AR UNITED KINGDOM
P MONARCH RECOVERY LTD.	C/O JLT PENSION SERVICES ATTN: MATTHEW HAWDON FITZALAN HOUSE, FITZALAN COURT FITZALAN ROAD CARDIFF CF24 0EL UNITED KINGDOM
PAULSON CREDIT OPPORTUNITIES MASTER LTD.	TRANSFEROR: BARCLAYS BANK PLC C/O MONARCH ALTERNATIVE CAPITAL LP ATTN: MICHAEL GILLIN 535 MADISON AVENUE, FLOOR 26 NEW YORK NY 10022
PAULSON CREDIT OPPORTUNITIES MASTER LTD.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH ATTN: JAMES OLIVO 1251 AVENUE OF THE AMERICAS 50TH FLOOR NEW YORK NY 10020
PAULSON ENHANCED LTD.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH ATTN: JAMES OLIVO 1251 AVENUE OF THE AMERICAS, 50TH FLOOR NEW YORK NY 10020
PAULSON PARTNERS ENHANCED L.P.	TRANSFEROR: AMUNDI ALTERNATIVES PAULSON ENHANCED MASTER FUND C/O PAULSON & CO. INC. 1251 AVENUE OF THE AMERICAS NEW YORK NY 10020
PAULSON PARTNERS ENHANCED L.P.	TRANSFEROR: BARCLAYS BANK PLC C/O PAULSON & CO. ATTN: JAMES OLIVO 1251 AVENUE OF THE AMERICAS, 50TH FLOOR NEW YORK NY 10020
ROYAL BANK OF SCOTLAND, PLC, THE	TRANSFEROR: BARCLAYS BANK PLC C/O PAULSON & CO. ATTN: JAMES OLIVO 1251 AVENUE OF THE AMERICAS, 50TH FLOOR NEW YORK NY 10020
ROYAL BANK OF SCOTLAND, PLC, THE	TRANSFEROR: BARCLAYS BANK PLC C/O PAULSON & CO. INC. ATTN: JAMES OLIVO 1251 AVENUE OF THE AMERICAS, 50TH FLOOR NEW YORK NY 10020
SERENGETI LYCAON MM L.P.	TRANSFEROR: CANDLEWOOD SPECIAL SITUATIONS MASTER FUND, LTD. ATTN: MATTHEW ROSENCRANS 600 WASHINGTON BOULEVARD STAMFORD CT 06901
SERENGETI LYCAON MM L.P.	TRANSFEROR: CWD OC 522 MASTER FUND, LTD. ATTN: MATTHEW ROSENCRANS 600 WASHINGTON BOULEVARD STAMFORD CT 06901
SERENGETI LYCAON MM L.P.	TRANSFEROR: BARCLAYS BANK PLC C/O SERENGETI ASSET MANAGEMENT LP, AS INVESTMENT ADVISOR ATTN: ERIN ROGERS 632 BROADWAY, 12TH FLOOR NEW YORK NY 10012
SERENGETI MULTI-SERIES MASTER, LLC - SERIES E11	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH (UK) C/O SERENGETI ASSET MANAGEMENT LP ATTN: ERIN ROGERS 632 BROADWAY, 12TH FLOOR NEW YORK NY 10012
SILVER POINT LUXEMBOURG PLATFORM S.A.R.L	TRANSFEROR: SERENGETI LYCAON MM L.P. C/O SERENGETI ASSET MANAGEMENT LP ATTN: ERIN ROGERS 632 BROADWAY, 12TH FLOOR NEW YORK NY 10012
SILVER POINT LUXEMBOURG PLATFORM S.A.R.L.	TRANSFEROR: SPCP GROUP, LLC ATTN: SELMA TRIANT GREENWICH PLAZA 1ST FLOOR GREENWICH CT 06830
SPCP GROUP, L.L.C.	TRANSFEROR: SPCP GROUP, L.L.C. ATTN: SELMA TRIANT 2 GREENWICH PLAZA, 1ST FLOOR GREENWICH CT 06830
SPCP GROUP, LLC	TRANSFEROR: ROYAL BANK OF SCOTLAND, PLC, THE ATTN: ADAM J. DEPANFILIS 660 STEAMBOAT ROAD GREENWICH CT 06830
SPCP GROUP, LLC	TRANSFEROR: ROYAL BANK OF SCOTLAND, PLC, THE ATTN: JENNIFER POCCHIA 2 GREENWICH PLAZA GREENWICH CT 06830